

Start-up Toolkit for ARISE Networks

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TOGETHER NOW FOR

A SAFE AND PROSPEROUS FUTURE FOR ALL

The global recognition of the significant, lasting impact that climate change and disasters will have on economic growth, community wellbeing, and related financial and economic systems, is rapidly growing. For companies, big and small, to survive and even prosper in this environment, they need to adapt fast. The uncertainties and transformation brought about by climate change and disasters can be seen as significant risks but also as opportunities.

By connecting a passionate community of business and other public and private partners, ARISE works as a catalyst for business innovation and for knowledge sharing to reduce risk for a sustainable and resilient future. Through its regional and national Networks and links into the global policy discussions on sustainability, climate action and disaster risk reduction, ARISE offers its members the opportunity to demonstrate leadership in cooperating with public partners to reshape business, society and financial frameworks to implement tailor- made solutions for all industries and sectors, leading to take risk-informed decisions, formulate adaptive strategies and implement preventative actions.



1. Introduction

With large percentage of employment and investment in any given country coming from private sources¹ and between USD140 to 300 billion needed for climate adaptation worldwide,² the business sector is a fundamental partner for the successful implementation of the Sendai Framework for Disaster Risk Reduction 2015-2030³. To enhance the engagement of the private sector in the promotion and implementation of risk-informed investment globally, UNDRR established ARISE – the Private Sector Alliance for Disaster Resilient Societies in 2015. ARISE's mission is "to create risk-resilient societies by energising the private sector in collaboration with the public sector and other stakeholders to achieve the outcome and goal of the Sendai Framework in a transparent and inclusive way that delivers local and measurable impact."

ARISE is governed by a global board and implemented through regional and national networks. Specifically, ARISE Networks promote, enhance and support the implementation of the Sendai Framework for Disaster Risk Reduction 2015-2030 at the regional, national and local levels, including through advocacy, knowledge sharing, and projects for the integration of disaster risk reduction into business strategies and management practices. This Start-up Toolkit assists in establishing ARISE Networks and provides an overview of basic requirements, a step-by-step guide for creating a national or regional ARISE Network, and a *template* for developing ARISE Networks Terms of Reference (ARISE Network ToR).

This document is to be used together with the <u>ARISE Global Terms of Reference (ARISE Global ToR)</u>, where in case its update is more recent, the ARISE Global ToR shall supersede this document.

³ https://www.undrr.org/implementing-sendai-framework/what-sendai-framework



¹ Data from a sample of OECD countries between 1970 and 2021 indicates that around 60 percent of total gross fixed capital formation can be attributed to corporate sources (see <u>OECD data, Investment by sector</u>). Data for low- and middle-income economies is less available.

² See the <u>UNEP Adaptation Gap Report 2020</u>.

2. ARISE Networks

ARISE Networks are established by ARISE members for their country of residence through written agreement with UNDRR. Network establishment is facilitated by the respective UNDRR Regional Offices. As of January 2023, there are more than 29 ARISE Networks across five regions. Networks may vary in their size⁴, organization, and activities but carry common responsibilities.

Network activities

This section elaborates network activities listed in the Global ARISE ToR (pp. 15-16) to support the implementation of the Sendai Framework for Disaster Risk Reduction 2015-2030, including commitment to:

- Engage in advocacy, knowledge products, good practice sharing and guidance on disaster risk reduction and risk-informed investment, including through engagement at Global and Regional Platforms for Disaster Risk Reduction. For example:
 - o Engage in regional, national and local advocacy;
 - Promote and disseminate good practices, guidance and information for risk-informed business and development;
 - Share achievements and lessons learned at the local, regional and global level including at Regional and Global Platforms for Disaster Risk Reduction;
 - Establish public-private alliances
- Promote the integration of DRR into business strategies and management, product development, enterprise risk management and continuity plans and strategies;
- Lead and facilitate capacity development on disaster risk reduction for member companies, including for Micro, Small, and Medium Enterprises (MSMEs);
- Develop public-private collaboration for targeted projects and initiatives to catalyze innovation, strategy development, collaboration and partnerships with national and local governments and other stakeholder groups for coherent policy development and implementation;
- Registration of commitments and reporting on achievements of national and regional activities through the Sendai Framework Voluntary Commitments Platform, PreventionWeb and other UNDRR communication platforms and events;
 - o Showcase achievements of national and regional activities through the ARISE website;
 - Engage and where relevant in the Sendai Framework Voluntary Commitments, MCR2030, annual celebrations of the International Day for Disaster Risk Reduction and World Tsunami Awareness Day, and UNDRR communication and knowledge sharing

⁴ Networks must have a minimum of 10 members prior to the launch. All ARISE Network members must officially join ARISE; in cases of an ARISE Network being hosted by a business association or group, at least 10 Network members must be ARISE members (ARISE Global ToR, pp. 14-15).



platforms such as PreventionWeb;

- Implement concrete projects aligned with the ARISE Global ToR and the ARISE national or regional network ToR; Seek coherence with the SDGs, Paris Agreement on climate change, New Urban Agenda and Agenda for Humanity. Activities shall be coordinated with the UNDRR Regional Office to ensure, as appropriate, alignment with UNDRR's mandate and work programmes;
- As appropriate, seek collaboration and partnerships with other existing private sector networks, such as UN Global Compact Local Networks, networks of the Connecting Business Initiative (CBI), and Coalition for Disaster Resilient Infrastructure (CDRI), among others;
- Collaborate with other national and regional ARISE Networks;
- Engage with the public sector and other stakeholders in [enter country or region] regarding implementation of the Sendai Framework;
- Recruit private sector companies in [enter country or region] to join ARISE and/or support disaster risk reduction;
- Represent ARISE at [enter country or region] meetings and events;
- Engage industry or business associations in [enter country or region] to effect the goals of the Sendai Framework for Disaster Risk Reduction

Network responsibilities

In coordination with the respective UNDRR Regional Office:

- Hold at minimum yearly Network meetings for Network members. The UNDRR Regional Office representative shall be invited to all such meetings to be held by ARISE regional or national networks;
- Issue an annual Network activity report to the relevant UNDRR Regional Office and ARISE global Board before 31 December of the respective calendar year (UNDRR provides the template);
- Maintain membership records and provide the UNDRR Regional Office and ARISE global Board with an updated membership lists on an annual basis required at the time of annual reporting;
- Actively engage in regional activities (Forums) and biannual Network Lead calls organized by UNDRR, interact with the Global ARISE Board, and actively engage in the ARISE Annual General Meeting (AGM);
- Develop a Network workplan (e.g. 1-, 2- or 3-year plan) suitable for your Network to guide activities and implementing it together with other Network members. It is then recommended to share your ARISE Network workplan via the <u>Sendai Framework Voluntary Commitments</u> online platform to ensure your commitments count towards global stakeholder implementation of the Sendai Framework (see example <u>here</u>).

Network leads



Network leads must actively engage the network in an inclusive manner in national, regional and as appropriate global activities. UNDRR reserves the right to request a change of network lead in case of inactivity, including failure to submit workplans, updated member lists and activity reports.

3. Step-by-Step Guide for Establishing an ARISE Network

This section provides a step-by-step guide for formation of a national or regional ARISE Network.

STEP 1: Contact UNDRR Office Focal Point in your region

Any ARISE member located in a country or region without an existing ARISE Network may propose to start a Network by contacting the UNDRR Office Focal Point in their region. For contact details, please see the <u>ARISE website</u>.

Please note:

- It is possible for ARISE members to start a Network if one does not exist in their country. Only one ARISE Network can be established per country. For an overview of existing ARISE Networks please see the <u>ARISE website</u>:
 - <u>Networks in Africa</u>
 - Networks in the Americas and Caribbean
 - Networks in Arab States
 - Networks in Asia and Pacific
 - Networks in Europe
 - List of ARISE members

Please remember:

- To join ARISE, companies are required to submit their application, proof of legal registration and statement of commitment through the <u>ARISE Website</u>.
- All applicants are subject to UNDRR's due diligence process. ARISE Networks are consulted for additional input and further information may be requested from the applicant. Please see <u>ARISE Global Terms of Reference</u> for more information.

STEP 2: Reach out to existing and interested members

ARISE members are automatically designated members of the Network of the country where they are headquartered in, if there is an existing Network at the time of joining, or a Network is successfully established.



To establish a new Network, it must have a minimum of 10 ARISE members willing to be part of the Network prior to the Network launch. All ARISE Network members must officially join ARISE; in cases of an ARISE Network being hosted by a business association or group, at least 10 Network members must be ARISE members.

Networks can also include local branches and subsidiaries of global ARISE businesses (headquartered elsewhere). Networks, once established, may engage non-ARISE members as observers and affiliates through the terms they set in their Network ToR, and thus serves as a soft entry point for potential ARISE members.

STEP 3: Develop and agree on Network governance structures

All Networks are expected to have Network governance structures including a Network lead and/or board which are responsible to fulfill the network's activities and responsibilities (see Section 2). We encourage the networks to establish boards and distribute tasks.

Working modalities of the future ARISE Network must be outlined in an ARISE Network ToR using the template provided in Section 4. With the exception of compulsory elements that need to be included, outlined in Section 4, Networks can adapt the ARISE Networks ToR and have flexibility on their choice of governance mechanisms, i.e. the set-up, composition, selection, and terms of office, which are left to individual ARISE Networks to determine according to their circumstances and within the national legal and regulatory frameworks.

An English translation is required of all ToRs prepared in other languages. The final version of the ARISE Network ToR must be submitted to the Regional UNDRR Office Focal Point for approval.

STEP 4: Get UNDRR approval and launch the ARISE Network

An ARISE Network is established through a written agreement between the Network lead and UNDRR, i.e. the submission of the Network ToR and its approval.

Networks are established in cooperation with the respective UNDRR Regional Office. A launch event should be held in collaboration with UNDRR to kickstart the work of the ARISE Network.

To facilitate e-communication, online dialogue and document sharing among Network members, a subcommunity within the ARISE workspace on PreventionWeb will be created for the Network, to which both focal person(s) within the Network governance structure and UNDRR will hold administrator privileges.

Upon establishment, Networks should establish their public-facing Network listing page on the ARISE official webpage, <u>ariseglobalnetwork.org</u>, via their UNDRR Regional Office.

STEP 5: Develop the ARISE Network workplan

An ARISE Network workplan (around 1- or 3-year) needs to be developed aligned with the Sendai Framework (typically its four priorities or seven targets), ARISE commitments, and/or ARISE focus



areas and Key Performance Indicators (KPIs), to guide the activities of the Network (see section 5 to find out what these are). It is then recommended to share your ARISE Network workplan via the <u>Sendai</u> <u>Framework Voluntary Commitments</u> online platform to ensure your commitments count towards global stakeholder implementation of the Sendai Framework (see example <u>here</u>).

It is highly recommended that the first workplan be shared with the Regional UNDRR Office Focal Point for consultation and approval; later workplans could be directly uploaded and monitored through the SFVC online platform.

STEP 6: Deliver on Network responsibilities

Networks are expected to execute activities and fulfill responsibilities as detailed in Section 2.





4. ARISE Network Terms of Reference

ARISE Networks can use the ARISE Networks Terms of Reference (ToR) Template below and adapt it to their respective national or regional circumstances.

- The colored **boxes to the right lists all the compulsory elements** that must be included in an ARISE Network ToR. If the template below does not suit the requirements of your country, use the colored boxes as a checklist of compulsory elements to include in a ToR that is applicable in your country.
- Compulsory elements are shown in **bold** within the template

SIDE-BY-SIDE: TOR TEMPLATE AND COMPULSORY ELEMENTS

TEMPLATE

The Terms of Reference of ARISE [enter country or region]

1. PURPOSE

The United Nations Office for Disaster Risk Reduction (UNDRR) Private Sector Alliance for Disaster Resilient Societies (ARISE) is a UNDRR-led network of private sector entities, and ARISE [enter country or region] is the local network based in [enter country/region].

The primary function of ARISE [enter country or region] is to engage the private sector in the implementation of the Sendai Framework for Disaster Risk Reduction in [enter country/region] at the national and local levels.

These Terms of Reference (ToR) shall be the fundamental document to convoke, organize, govern, and guide the operations of *ARISE* [enter country or region].

These ToR are likewise in accord with and shall be compliant with the UNDRR ARISE Global ToR, particularly regarding its applicable and relevant provisions that may not have been included in the provisions of these ToR. By agreeing to and continuing to be a member of *ARISE* [enter country or region], members shall abide by the provisions of these ToR and voluntarily commit to support and implement the Sendai Framework for Disaster Risk Reduction 2015-2030, aligned with the 2030 Agenda for Sustainable Development and its Sustainable Development Goals (SDGs), the Paris Climate Agreement, New Urban Agenda, and Agenda for Humanity.

ARISE [enter country or region] members shall likewise adhere to the ARISE Five Commitments, the ARISE Guiding Principles in the UNDRR Global ARISE ToR, the ARISE Focus Areas, and

Compulsory elements

1. The name of the Network should be 'ARISE [enter country or region]'

Check

2. ARISE needs to be defined in the ToR as 'UNDRR Private Sector Alliance for Disaster Resilient Societies (ARISE)'.

□ Check

3. Clearly state the relationship to the Sendai Framework for Disaster Risk Reduction, e.g. "ARISE [enter country or region] will engage the private sector in the implementation of the Sendai Framework for Disaster Risk Reduction, and thus support its implementation at the national and local levels".

Check



the 10 Principles of the UN Global Compact.

2. MISSION

ARISE [enter country or region] brings together private sector companies located in [enter country or region]. Focusing on risk-informed business investment, ARISE [enter country or region] will aim to achieve coherent approaches to the reduction of disaster and climate risks for sustainable development and long-term economic growth.

ARISE [enter country or region] supports the ARISE Mission 'to create risk-resilient societies by energising the private sector in collaboration with the public sector and other stakeholders to achieve the outcome and goal of the Sendai Framework in a transparent and inclusive way that delivers local and measurable impact.'.

3. OBJECTIVES

ARISE [enter country or region] shall bring together private sector companies in [enter country or region] for the purpose of implementing the Sendai Framework for Disaster Risk Reduction and building resilient societies by changing the behaviors of stakeholders from the public and private sector in [country or region] through the implementation of specific activities, programs and projects, consistent with ARISE policies, goals and objectives. With the private sector acting as the catalyst and a critical partner, ARISE [enter country or region] members shall focus on risk-informed business investment in order to achieve coherent approaches to the reduction of disaster and climate risks for sustainable development and long-term economic growth.

4. STRUCTURE AND OFFICERS

ARISE is a UNDRR-led network of private sector entities, whose members voluntarily commit to align with the Sendai Framework for Disaster Risk Reduction. ARISE members share information, experience, activities, and projects, while the level of involvement and resources is at the discretion of each member. Most activities and interactions are accomplished on a local, national and regional level, and ARISE is structured accordingly under the monitoring of the correspondent UNDRR Regional Office. **4.** Relate the Network mission to the ARISE Mission "Tto create risk-resilient societies by energising the private sector in collaboration with the public sector and other stakeholders to achieve the outcome and goal of the Sendai Framework in a transparent and inclusive way that delivers local and measurable impact."

□ Check

It is recommended to include a description of how the Network relates to other disaster risk reduction actors in that country, including government, civil society stakeholder groups, and other United Nations or private sector stakeholders.



ARISE [enter country or region] is established through a written agreement between the Network lead and UNDRR (this ToR).

ARISE [enter country or region] is expected to fulfill network responsibilities and develop concrete workplans aligned with the ARISE Global Terms of Reference.

ARISE [enter country or region] shall have the following officers to be elected or appointed under such terms, have the following functions, and shall be subject to such qualifications provided below:

4.1 NETWORK BOARD OF DIRECTORS

ARISE [enter country or region]shall have a Board of Directors composed of at least ten (10) members, all of whom shall be elected during the yearly Network meetings for Network members, or during a special membership meeting called for the purpose. Each member of the ARISE [enter country or region] Board of Directors shall serve for a term of three (3) years, renewable once, from the date of their election into the Board or until their successor is elected.

Thereafter, the elected Board of Directors shall elect a Chairperson among the ten (10) elected, who shall also be the *ARISE* [enter country or region] Network Lead. The Chairperson shall not vote in the election of officers or decisions to be made by the Board of Directors, unless such vote is required to break a tie and to prevent a deadlock in elections and decision-making.

A Vice-Chairperson shall also be elected from among the members of the Board of Directors of *ARISE* [enter country or region], who shall assist the Chairperson/Network Lead in the performance of his/her duties and who shall act as Deputy Chairperson in case the Chairperson is not available to perform his/her duties.

The ARISE [enter country or region] Board of Directors shall hold at least [enter number here] meetings per year, one of which shall be for purposes of strategic planning to finalize its goals for the current or succeeding year and the other for the confirmation and finalization of reports on the achievement of such goals and for election or appointment of officers.

4.2 NETWORK LEAD

The ARISE [enter country or region] Network Lead is authorized

5. Mention that a network is established through a written agreement (i.e. the approval of the ToR and workplan) with UNDRR

□ Check

 All Networks must have a Lead

Check

7. Mention that UNDRR reserves the right to request a change of network lead in case of inactivity, including failure to submit workplans, updated



to represent the ARISE [enter country or region] before and sign the agreement with UNDRR. He or she is responsible for regular communication with the UNDRR-[enter region], including, among others, on submission of membership lists, development and submission of the workplan and annual activity reports, invitation of UNDRR representative(s) to formal meetings of ARISE [enter country or region].

UNDRR reserves the right to request a change of Network Lead in case of inactivity, including failure to submit workplans, updated member lists and activity reports.

4.3 NETWORK TREASURER

The ARISE [enter country or region] Treasurer shall be elected by majority of the Board of Directors from its members at the meeting of the Board to be conducted after the yearly Network meetings for Network members.

The ARISE [enter country or region] Treasurer shall be responsible for accounting for and reporting of ARISE [enter country or region]' activities and contributions to its members and, as required, to the UNDRR-[enter region].

4.4 NETWORK SECRETARIAT

The ARISE [enter country or region] Board of Directors shall appoint the ARISE [enter country or region] Secretariat, which shall be composed of nominees from ARISE [enter country or region] member companies.

The ARISE [enter country or region] Secretariat shall prepare reports and minutes of meetings, conferences and events, and shall assist the ARISE [enter country or region] Network Lead in maintaining and updating the membership list.

ARISE [enter country or region] must arrange to provide their own secretariat functions; UNDRR will not provide secretariat support to ARISE [enter country or region]. member lists and activity reports. This note must be included in the ToR.

Check

8. All Networks must have a Treasurer, if the Network receives contributions from members.

Check

Note: The use of common nomenclature of 'Lead' and 'Treasurer' is recommended across ARISE Networks.

9. Mention that UNDRR will not provide direct secretariat support (e.g. administrative functioning) to Networks; however, Networks can define secretariat and additional roles as needed.

Check



5. ACTIVITIES

The activities of *ARISE* [enter country or region] will be aligned with the Sendai Framework for Disaster Risk Reduction 2015-2030 and the ARISE Global ToR.

The ARISE five commitments are:

- 1) Raise Awareness: Awareness raising with respect to disaster risk and mobilization of the private sector;
- 2) Influence: Exercising influence in respective spheres of expertise;
- 3) Share Knowledge: Share knowledge, experience and good practices amongst the private sector;
- 4) Catalyse: Be a catalyst to generate strategy, innovation and collaboration;
- 5) Implement: Implement projects and activities to achieve the targets of the Sendai Framework.

The ARISE four focus areas:

- 1) SMEs: Enhancing the resilience of Small and Medium Enterprises;
- Investment and Investors: Integrating disaster and climate risk into investment decisions by the financial sector
- Insurance: Incentivizing disaster risk reduction and enhanced data for risk-informed decision-making through engagement with the insurance industry as global risk manager;
- 4) Resilient Infrastructure: Supporting resilient infrastructure development.

Specifically, ARISE Networks will:

- Engage in advocacy, knowledge products, good practice sharing and guidance on disaster risk reduction and risk-informed investment, including through engagement at Global and Regional Platforms for Disaster Risk Reduction. For example:
 - Engage in regional national and local advocacy;
 - \circ Promote and disseminate good practices,

10. Tie Network activities into Sendai Framework priority areas or targets, and/or ARISE Five Commitments, and/or the current ARISE focus areas and KPIS.

□ Check

11. There are <u>five</u> required Network activities that **must** be mentioned in the ToR:

(1) Holding meetings for their Network members at least once a year with participation of a UNDRR representative.

(2) Recordkeeping/reporting to UNDRR Regional Office, including delivering annual Network activity reports to feed into the ARISE Global Annual Report and record of all financial transactions

(3) Connecting prospective new members to UNDRR Regional Office and guide them through the ARISE application process

(4) Maintaining membership records, including provision of updated membership lists to UNDRR

(5) Developing ARISE Network workplans. Ideally, the first workplan should be submitted to UNDRR as part of the written agreement to initiate the Network.



guidance and information for risk-informed business and development;

- Share achievements and lessons learned at the global and regional level including at Regional and Global Platforms for Disaster Risk Reduction;
- Promote the integration of DRR into business strategies and management, product development, enterprise risk management and continuity plans and strategies;
- Lead and facilitate capacity development on disaster risk reduction for member companies, including for Micro, Small, and Medium Enterprises (MSMEs);
- Develop public-private collaboration for targeted projects and initiatives to catalyze innovation, strategy development, collaboration and partnerships with national and local governments and other stakeholder groups for coherent policy development and implementation;
- Registration of commitments and reporting on achievements of national and regional activities through the Sendai Voluntary Commitments Platform, PreventionWeb and other UNDRR communication platforms and events;
 - Showcase achievements of national and regional activities through the ARISE website;
 - Engage and where relevant in the Sendai Framework Voluntary Commitments, MCR2030, annual celebrations of the International Day for



Disaster Risk Reduction and World Tsunami Awareness Day, and UNDRR communication and knowledge sharing platforms such as PreventionWeb;

- Implement concrete projects aligned with the ARISE Global ToR and the ARISE national or regional network ToR; Seek coherence with the SDGs, Paris Agreement on climate change, New Urban Agenda and Agenda for Humanity. Activities shall be coordinated with the UNDRR Regional Office to ensure, as appropriate, alignment with UNDRR's mandate and work programmes.;
- As appropriate, seek collaboration and partnerships with other existing private sector networks, such as UN Global Compact Local Networks, networks of the Connecting Business Initiative (CBI), and Coalition for Disaster Resilient Infrastructure (CDRI), among others;
- Engage with the public sector and other stakeholders in [enter country or region] regarding implementation of the Sendai Framework;
- Recruit private sector companies in [enter country or region] to join ARISE and/or support disaster risk reduction;
- Represent ARISE at [enter country or region] meetings and events;
- Engage industry or business associations in [enter country or region] to effect the goals of the Sendai Framework for Disaster Risk Reduction

In exercise of the activities and in coordination with the respective UNDRR Regional Office, *ARISE* [enter country or region] will:

- Hold at minimum yearly Network meetings for Network members. The UNDRR [enter region] representative shall be invited to all such meetings to be held by ARISE [enter country or region];
- Issue an annual Network activity report to the relevant UNDRR Regional Office and ARISE global Board before 31 December of the respective calendar year (UNDRR provides the template);



- Maintain membership records and provide the UNDRR Regional Office and ARISE global Board with an updated membership lists on an annual basis required at the time of annual reporting;
- Actively engage in biannual Network Lead calls organized by UNDRR, interact with the Global ARISE Board, and actively engage in the ARISE Annual General Meeting (AGM);
- Develop a Network workplan (e.g. 1-, 2- or 3-year plan) suitable for your Network to guide activities and implementing it together with other Network members. It is then recommended to share your ARISE Network workplan via the <u>Sendai Framework</u> <u>Voluntary Commitments</u> online platform to ensure your commitments count towards global stakeholder implementation of the Sendai Framework (see example here).

6. MEMBERSHIP

ARISE [enter country or region]'s members are defined as:

ARISE members headquartered in that country or region; and

Subsidiaries of ARISE members located in that country that specifically request to join *ARISE* [enter country or region]

ARISE members must inform the respective Network Leads and/or UNDRR immediately about all changes to their legal status versus the time of their original admission.

Below, as per the ARISE Global ToR, provisions on eligibility, subsidiaries, and the membership application process are specified.

6.1 ELIGIBILITY FOR ADMISSION

ARISE is open to all private sector companies, other stakeholders⁵ and private sector networks independent from sector, company size or revenue, with the exception of companies that are:

subject to a UN sanction;

12. Include a definition of ARISE Network's members: ARISE members headquartered in that country or region; and subsidiaries of ARISE members located in that country that specifically request to join the Network

13. Note that ARISE is open to all private sector companies and private sector networks independent from sector, company size or revenue
□ Check

14. List the exclusionary criteria (verbatim as shown in

⁵ Note: As appropriate and for specific purposes and timeframes, the ARISE [...] Networks may, by majority vote, include other stakeholders (academia, independents, etc) as associate members. Upon invitation, associate members may join [...] Network meetings as observers (Global ARISE ToR, p. 30).



- listed on the UN Ineligible Vendors List for ethical reasons;

- listed in the Database of all business enterprises involved in the activities detailed in paragraph 96 of the report of the independent international fact-finding mission to investigate the implications of the Israeli settlements on the civil, political, economic, social and cultural rights of the Palestinian people throughout the Occupied Palestinian Territory, including East Jerusalem

- derive revenue from controversial weapons, including the production, sale and/or transfer of antipersonnel landmines or cluster bombs;

- derive revenue from the production and/or manufacturing of tobacco.

ARISE members must comply with UN ethical standards and principles and respect the impartiality and independence required of the United Nations.

6.2 SUBSIDIARIES

Any subsidiary, irrespective of their parent company's participation can apply individually to become a member of ARISE. Alternatively, subsidiaries may choose to participate through their parent company's commitment, which applies to all subsidiaries and country offices. If a parent company meets any of the exclusionary criteria, it cannot be admitted to ARISE. Subsidiaries and affiliated companies can apply individually should they not meet any of the product-based exclusionary criteria. However, this exception does not apply if the subsidiary or affiliate is part of a corporate group that derives more than 5% of its revenue from the production of tobacco or controversial weapons.

6.3 ARISE MEMBERSHIP APPLICATION PROCESS

All ARISE Members are subject to screening prior to joining ARISE through a due diligence process undertaken by UNDRR to safeguard integrity and reputation of the United Nations and the values it upholds. The <u>application process</u> includes the submission of the membership application form, statement of commitment and proof of legal registration from each applicant company. ARISE Networks are consulted for additional input and further information may be requested from the applicant. Upon clearance by UNDRR, members will receive an official welcome letter. To start the process of for any **15.** Include an overview of the ARISE membership application process. It can refer/link to outside documents for details, but should note that: a valid proof of legal registration and signed statement of commitment must be submitted to UNDRR via the application form on the ARISE website; and membership is contingent on an evaluation



the template), including the paragraph on subsidiaries □ Check questions, applicants can contact the ARISE Network and/or the relevant UNDRR Regional Office.

7. FINANCING

ARISE [enter country or region] is governed and shall abide by the UNDRR ARISE Global ToR.

There are no membership fees for becoming an ARISE member. However, as an organization predominantly funded from voluntary contributions, UNDRR encourages ARISE members to provide in-kind or financial support to specific activities or events such as Regional and Global Platforms. Kindly note that before any contribution can be accepted, UNDRR may request additional information from the ARISE members as part of due diligence required under the regulations and rules of the United Nations for the acceptance of voluntary contributions.

Financial contribution by ARISE members directly to ARISE *[enter country or region]* must be:

- a) Fully disclosed and made from a vetted ARISE member;
- b) Focused solely on the implementation of agreed activities aligned with these TOR;
- c) Made in accordance with the legal status of ARISE [enter country or region] and applicable national laws and regulations for the management and implementation of Network activities. Such contributions are the sole responsibility of and must be managed by the Network Lead or dedicated treasurer of ARISE [enter country or region];
- d) UNDRR will not be held liable for any financial transaction realized by members of ARISE -*[enter country or region]*, nor be held liable for any damage, financially or structurally, which may occur in the process of any activities implemented by ARISE Board or ARISE *[enter country or region]*.

ARISE-[enter country or region] Board or Network members will not receive remuneration for their services and are expected to secure own resources to support their engagement in the formal ARISE meetings. and due diligence process by UNDRR. □ **Check**

16. Include these rules regarding funding and membership fees, verbatim as shown in the template. At present, no membership fees can be charged, per the UNDRR Global ARISE ToR.
□ Check



With the exception of travel support to private sector partners from Micro, Small and Medium Enterprises (MSMEs) of lowand-middle-income countries (LMICs) and Small Island Developing States (SIDS) for selected ad-hoc occasions on a case-to-case basis, UNDRR will not provide funding for secretariat activities of ARISE [enter country or region].

ARISE members shall not use their affiliation for private or commercial financial gain. It is incumbent upon *ARISE-[enter country or region]* Board or Network members who become aware of such practice to immediately report to the Head of the UNDRR Regional Office. Failure to comply with this rule may result in the application of the de-listing policy as per the ARISE global ToR. It is recognized that ARISE members often are providing services and/or products in disaster risk reduction for public and/or private sector entities and other organizations. Such activities are not defined as a conflict provided that they are not using ARISE affiliation for financial gain.

8. ACCOUNTABILITY, USE OF INFORMATION AND PUBLICATIONS

As part of an alliance established by UNDRR under the regulations and rules of the United Nations, all ARISE members of *ARISE* [enter country or region] must comply with UN ethical standards and principles and respect the impartiality and independence required of the United Nations.

ARISE [enter country or region] shall not represent or make public statements on behalf of UNDRR or the United Nations, unless specifically authorized by UNDRR Chief of Partnerships Branch at Geneva.

The use of UNDRR's and the United Nations name (including the words United Nations), structures or logo is not permitted, and shall not appear in front of or be associated with *ARISE [enter country or region]* or member names in any material, including but not limited to events, publications, business cards or other stationary, or in any social media or sharing platform.

ARISE [enter country or region] and members may use the ARISE logo only for official ARISE' purposes. Permitted use of the ARISE logo include, without limitation: events organized, sponsored by or involving ARISE members; business cards of ARISE network members and representatives; official ARISE 17. Include these rules regarding United Nations, UNDRR, and ARISE name and logo use.
□ Check



Network websites; ARISE letters and emails and other communications among ARISE members.

ARISE [enter country or region] and members acknowledge that the ARISE name and logo is exclusive property of UNDRR. The use of the ARISE logo related to financial transactions and fundraising is only allowed with prior written consent by UNDRR Chief of Partnerships Branch at Geneva.

The use of the ARISE logo does not imply the endorsement of the United Nations or other ARISE members, its products, services or activities.

When used alongside the logo of a partner organization, care should be taken to ensure that the ARISE logo is given equal prominence through positioning and size.

All publications generated by ARISE are subject to review and approval by UNDRR and must contain legal disclaimers as provided by UNDRR.

ARISE [enter country or region] and members shall provide UNDRR prompt written notice with the inclusion of details of any event, any developments and any actions taken or the occurrence of breach of integrity as outlined above, iling of commencement of any action, suit or proceedings against or affecting ARISE or ARISE [enter country or region]; any other development that, in the opinion of the ARISE member, results in, or could reasonably be expected to result in, a material adverse effect on ARISE or UNDRR.

The **ARISE** [enter country or region] will create and maintain its own website and social media platforms, if existent, ensuring that the design, color scheme, and overall structure align with official the ARISE webpage (https://www.ariseglobalnetwork.org/). Prior to publishing any content on these channels, ARISE [enter country or region] network leader or relevant board members reviews the material to ensure compliance with the United Nations' guidelines and positions. It is important to note that advertising products or services provided by network members is not permitted on these platforms. There should be a thorough editorial process in place to review content before publication, emphasizing adherence to UN principles, scientific evidence and avoiding promotional material.



9. TERMINATION

The de-listing policy specified in the ARISE Global ToR applies to ARISE [enter country or region] whereby UNDRR reserves the right to terminate ARISE [enter country or region] with immediate effect.

ARISE [enter country or region] shall remain in existence until terminated by its Lead upon majority agreement with its members.

18. Include the UNDRR termination clause, verbatim as shown in the template.
□ Check

Optional but important elements to consider:

- □ Include minimum requirements regarding in-person Network representation at regional and global DRR platforms and ARISE events such as the AGM or Network Leaders Meetings in the ToR itself.
- □ Include a description of how Network relates to other disaster risk reduction actors in that country, including government, civil society stakeholder groups, and other United Nations or private sector stakeholders.

ADDITIONAL INFORMATION

Role of the ARISE Global Board

The ARISE Global Board provides policy advice and guidance to the Special Representative of the Secretary-General for Disaster Risk Reduction (SRSG) on global trends and opportunities to better engage the private sector. It also supports the engagement in global and regional advocacy for risk-informed investment and facilitates the expansion of ARISE.

Networks report to, and interact with, the UNDRR Regional Office and ARISE global Board on a regular basis.

To learn more about the ARISE Board, its composition and election process please click <u>here</u> for the full ARISE Terms of Reference. To see current ARISE Global Board Members please see the <u>ARISE</u> <u>website</u>.



5. Key references for ARISE Networks

SENDAI FRAMEWORK FOR DISASTER RISK REDUCTION 2015-2030

The objective of the Sendai Framework for Disaster Risk Reduction 2015-2030 is to "Prevent new and reduce existing risk and to strengthen resilience to natural and man-made hazards to achieve substantial reduction in disaster loses." The Framework highlights the primary role of States in the achievement of this goal, however the Framework also calls for an inclusive approach to reducing risks. Recognizing the critical role of the private sector in any given country and community, as employer, producer, exporter or investor, the Sendai Framework specifically mentions that States should encourage the following actions on the part of all public and private stakeholders.

"Business, professional associations and private sector financial institutions, including financial regulators and accounting bodies, as well as philanthropic foundations, to integrate disaster risk management, including business continuity, into business models and practices through disaster-risk-informed investments, especially in micro, small and medium-sized enterprises; engage in awareness-raising and training for their employees and customers; engage in and support research and innovation, as well as technological development for disaster risk management; share and disseminate knowledge, practices and non sensitive data; and actively participate, as appropriate and under the guidance of the public sector, in the development of normative frameworks and technical standards that incorporate disaster risk management."⁶

Sendai Framework's Four Priorities for Action

Priority 1. Understanding disaster risk

- Priority 2. Strengthening disaster risk governance to manage disaster risk
- Priority 3. Investing in disaster risk reduction for resilience

Priority 4. Enhancing disaster preparedness for effective response and to "Build Back Better" in recovery, rehabilitation and reconstruction

View full Sendai Framework for Disaster Risk Reduction 2015 – 2030 available in: <u>Arabic; Chinese;</u> English; <u>French</u>; <u>Russian</u>; <u>Spanish</u>.





ARISE KEYWORDS

ARISE MISSION

To create risk-resilient societies by energising the private sector in collaboration with the public sector and other stakeholders to achieve the outcome and goal of the Sendai Framework in a transparent and inclusive way that delivers local and measurable impact.

ARISE global Terms of Reference (UNDRR ARISE global ToR)

ARISE VALUE PROPOSITION

Together now for a safe and prosperous future for all.

ARISE Value Proposition

ARISE FIVE COMMITMENTS

- 1) **Raise Awareness**: Awareness raising with respect to disaster risk and mobilization of the private sector;
- 2) Influence: Exercising influence in respective spheres of expertise;
- 3) Share Knowledge: Share knowledge, experience and good practices amongst the private sector;
- 4) **Catalyse**: Be a catalyst to generate innovation and collaboration in developing risk-informed business strategies;
- 5) Implement: Implement projects and activities to achieve the targets of the Sendai Framework.

ARISE members commit to the ARISE guiding principles, Five Commitments and the Ten Principles of the UN Global Compact through their Statement of Commitment, turned in as part of their membership application process.

ARISE Statement of Commitment

ARISE GLOBAL TERMS OF REFERENCE (ToR)

The ARISE global Terms of Reference (ToR) contains information on the ARISE Mission, Objectives and Principles. In addition, it defines the Structures, Roles and Working Modalities of ARISE.

ARISE global Terms of Reference (UNDRR ARISE global ToR)



ARISE GUIDING PRINCIPLES

- Build resilient socio-economic growth and development;
- Establish relationships between public and private sector;
- Secure impact and a coherent approach to climate- and disaster-resilient sustainable development at the national and local level, in particular for the most vulnerable and marginalized groups;
- Promote valued-added propositions for DRR that produce tangible results for all engaged partners;
- Be guided by common values, professionalism and UN principles, including respect for human rights and dignity, gender equality and regional balance;
- Follow good governance rules, notably maintaining integrity and independence of each partner, commitment to partnership obligations, transparency, accountability, and sound financial management

ARISE Guiding Principles

ARISE FOCUS AREAS

- 1) SMEs: Enhancing the resilience of Small and Medium Enterprises;
- 2) Investment and Investors: Integrating disaster and climate risk into investment decisions by the financial sector
- 3) Insurance: Incentivizing disaster risk reduction and enhanced data for risk-informed decisionmaking through engagement with the insurance industry as global risk manager;
- 4) Resilient Infrastructure: Supporting resilient infrastructure development

ARISE Focus Areas and Key Performance Indicators (KPIs)



USEFUL LINKS

ARISE website:

https://www.ariseglobalnetwork.org/

ARISE contacts:

Contact details of Regional UNDRR Office Focal Points and Networks

List of ARISE Members

Documentation:

ARISE Corporate Documentation

ARISE global Terms of Reference (ToR)

ARISE Focus Areas and Key Performance Indicators (KPIs)

ARISE Value Proposition (English | French)

ARISE Communications Toolkit

Working with the private sector through ARISE (English | French)

UNDRR ARISE Annual Reports

ARISE Newsletters

ARISE membership application:

ARISE online application form

ARISE member-only Work Space:

https://cc.preventionweb.net/arise_community/arise_platform

Other UNDRR-established mechanisms for stakeholders:

The Sendai Framework Voluntary Commitments (SFVC) online platform

MCR2030

Stakeholder Engagement Mechanism

